



HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2016
OF THE CONDITION AND AFFAIRS OF THE
HealthLink HMO, Inc.

NAIC Group Code 0671 (Current) 0671 (Prior) NAIC Company Code 96475 Employer's ID Number 43-1616135

Organized under the Laws of Missouri, State of Domicile or Port of Entry Missouri

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 07/29/1992 Commenced Business 01/14/1993

Statutory Home Office 1831 Chestnut Street (Street and Number), St. Louis, Missouri, US 63103-2275 (City or Town, State, Country and Zip Code)

Main Administrative Office 1831 Chestnut Street (Street and Number), St. Louis, MO, US 63103-2275 (City or Town, State, Country and Zip Code), 314-923-4444 (Area Code) (Telephone Number)

Mail Address 120 Monument Circle (Street and Number or P.O. Box), Indianapolis, IN, US 46204 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 120 Monument Circle (Street and Number), Indianapolis, IN, US 46204 (City or Town, State, Country and Zip Code), 317-488-6716 (Area Code) (Telephone Number)

Internet Website Address www.healthlink.com

Statutory Statement Contact Tim Niccum (Name), 317-488-6716 (Area Code) (Telephone Number), Tim.Niccum@anthem.com (E-mail Address), 317-488-6169 (FAX Number)

OFFICERS

President Steven John Martenet

Treasurer Robert David Kretschmer

Secretary Kathleen Susan Kiefer

Assistant Secretary Karen Elizabeth Geiger

OTHER

Eric (Rick) Kenneth Noble, Assistant Treasurer

Keith David McDaniel #, Valuation Actuary

DIRECTORS OR TRUSTEES

Ronald William Penczek #

Steven John Martenet

Catherine Irene Kelaghan

State of Indiana

County of Marion

SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Steven John Martenet
President

Kathleen Susan Kiefer
Secretary

Robert David Kretschmer
Treasurer

Subscribed and sworn to before me this
Sixth day of August 2016

a. Is this an original filing? Yes [X] No []

b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Rita F. Gentry
Executive Admin Assistant I
January 17, 2021

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	14,946,269	0	14,946,269	14,950,215
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$(5,026,705)), cash equivalents (\$) and short-term investments (\$492,496)	(4,534,209)		(4,534,209)	4,453,508
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	10,412,060	0	10,412,060	19,403,723
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	21,744	0	21,744	22,216
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection			0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	9,025,921	24,385	9,001,536	7,012,391
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset	7,082	0	7,082	10,352
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	225,738	0	225,738	219,596
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other than invested assets	4,550	4,550	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	19,697,095	28,935	19,668,160	26,668,278
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	19,697,095	28,935	19,668,160	26,668,278
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Provider admin fee receivable	4,550	4,550	0	0
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	4,550	4,550	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)			0	0
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses			0	0
4. Aggregate health policy reserves, including the liability of \$0 for medical loss ratio rebate per the Public Health Service Act			0	0
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance			0	0
9. General expenses due or accrued	976,096	0	976,096	666,933
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))	1,300,252	0	1,300,252	270,828
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others.....			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	21,361	0	21,361	31,903
16. Derivatives			0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers).....			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans	3,886,141	0	3,886,141	4,202,212
23. Aggregate write-ins for other liabilities (including \$6,290 current)	175,871	0	175,871	199,361
24. Total liabilities (Lines 1 to 23)	6,359,721	0	6,359,721	5,371,237
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX	1,000	1,000
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	2,499,000	2,499,000
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	10,808,439	18,797,041
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	13,308,439	21,297,041
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	19,668,160	26,668,278
DETAILS OF WRITE-INS				
2301. Escheat payable	6,555		6,555	72,227
2302. Other liabilities	169,316		169,316	127,134
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	175,871	0	175,871	199,361
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX			
2. Net premium income (including \$ non-health premium income).....	XXX			
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX	18,907	19,830	48,312
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	18,907	19,830	48,312
Hospital and Medical:				
9. Hospital/medical benefits				
10. Other professional services				
11. Outside referrals				
12. Emergency room and out-of-area				
13. Prescription drugs				
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts				
16. Subtotal (Lines 9 to 15)	0	0	0	0
Less:				
17. Net reinsurance recoveries				
18. Total hospital and medical (Lines 16 minus 17)	0	0	0	0
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$495,124 cost containment expenses		497,112	487,444	1,104,903
21. General administrative expenses		(5,030,893)	(5,668,400)	(11,181,878)
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)				0
23. Total underwriting deductions (Lines 18 through 22).....	0	(4,533,781)	(5,180,955)	(10,076,975)
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	4,552,688	5,200,785	10,125,287
25. Net investment income earned		123,707	122,038	255,525
26. Net realized capital gains (losses) less capital gains tax of \$				
27. Net investment gains (losses) (Lines 25 plus 26)	0	123,707	122,038	255,525
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)].				
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	4,676,395	5,322,823	10,380,812
31. Federal and foreign income taxes incurred	XXX	1,631,161	1,841,789	2,568,839
32. Net income (loss) (Lines 30 minus 31)	XXX	3,045,234	3,481,034	7,811,973
DETAILS OF WRITE-INS				
0601. Provider admin fees	XXX	18,907	19,830	48,312
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	18,907	19,830	48,312
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.				
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	21,297,041	13,507,734	13,507,734
34. Net income or (loss) from Line 32	3,045,234	3,481,034	7,811,973
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$			
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax	(3,271)	219,947	(15,643)
39. Change in nonadmitted assets	6,526	(675,706)	(7,022)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders	(10,000,000)		
47. Aggregate write-ins for gains or (losses) in surplus	(1,037,092)	0	0
48. Net change in capital & surplus (Lines 34 to 47)	(7,988,603)	3,025,275	7,789,307
49. Capital and surplus end of reporting period (Line 33 plus 48)	13,308,439	16,533,009	21,297,041
DETAILS OF WRITE-INS			
4701. Correction of prior year federal income tax	(1,037,092)		
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	(1,037,092)	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	0	0	0
2. Net investment income	128,125	126,355	263,240
3. Miscellaneous income	18,907	19,830	48,312
4. Total (Lines 1 to 3)	147,032	146,185	311,552
5. Benefit and loss related payments	0	0	0
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	(2,544,626)	5,568,240	(8,028,283)
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	601,737	1,297,688	2,639,169
10. Total (Lines 5 through 9)	(1,942,889)	6,865,928	(5,389,114)
11. Net cash from operations (Line 4 minus Line 10)	2,089,922	(6,719,743)	5,700,666
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	0	0	0
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	0	0	0
13. Cost of investments acquired (long-term only):			
13.1 Bonds	0	0	0
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	0	0	0
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	0	0	0
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	10,000,000	0	0
16.6 Other cash provided (applied)	(1,077,638)	119,164	249,991
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(11,077,638)	119,164	249,991
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(8,987,717)	(6,600,579)	5,950,656
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	4,453,508	(1,497,149)	(1,497,149)
19.2 End of period (Line 18 plus Line 19.1)	(4,534,209)	(8,097,728)	4,453,508

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

Exhibit of Premiums, Enrollment and Utilization
N O N E

Claims Payable - Aging Analysis of Unpaid Claims
N O N E

Underwriting and Investment Exhibit
N O N E

NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2015. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying financial statements of HealthLink HMO, Inc. (the “Company”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Annual Statement* Instructions and in accordance with accounting practices prescribed or permitted by the State of Missouri Department of Insurance (the “Department”), specifically; limitations are placed on intercompany receivable balances.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed by the Department is shown below:

	State of Domicile	June 30, 2016	December 31, 2015
<u>Net Income</u>			
(1) HealthLink HMO, Inc. state basis (Page 4, Line 32, Columns 2 & 4)	Missouri	\$ 3,045,234	\$ 7,811,973
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:		-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP:		-	-
(4) NAIC SAP (1-2-3=4)	Missouri	\$ 3,045,234	\$ 7,811,973
<u>Surplus</u>			
(5) HealthLink HMO, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	Missouri	\$ 13,308,439	\$ 21,297,041
(6) State Prescribed Practices that increase/(decrease) NAIC SAP: Nonadmittance of amounts due from affiliates pursuant to 382.195 of Missouri Revised Statutes effective August 28, 2005.	Missouri	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP:		-	-
(8) NAIC SAP (5-6-7=8)	Missouri	\$ 13,308,439	\$ 21,297,041

B. Use of Estimates in the Preparation of the Financial Statements

No significant change.

C. Accounting Policies

- (1) – (5) No significant change.
- (6) Loan-backed securities – Not applicable.
- (7) – (14) No significant change.

D. Going Concern

Not applicable.

NOTES TO FINANCIAL STATEMENTS

2. Accounting Changes and Corrections of Errors

The Company made a 2015 Annual Statement correction in its December 31, 2015 Audited Financial Statement (Note 10) to increase federal income tax payable and income tax expense by \$1,037,092. This amount will be settled by September 30, 2016 as part of the income tax provision to actual return adjustment when Anthem, Inc. files its Consolidated Income Tax Return with the Internal Revenue Service by the federal extension due date of September 30, 2016. The Company's federal income tax payable within Page 3, Line 10.1 includes this outstanding income tax payable at June 30, 2016.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A. – C.

Not applicable.

D. Loan-Backed Securities

The Company did not have loan-backed securities at June 30, 2016.

E. – G.

Not applicable.

H. Restricted Assets

No significant change.

I. Working Capital Finance Investments

Not applicable.

J. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting or netting of assets and liabilities at June 30, 2016.

K. Structured Notes

Not applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

Not applicable.

7. Investment Income

No significant change.

NOTES TO FINANCIAL STATEMENTS

8. Derivative Instruments

Not applicable.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. Nature of the Relationship

On July 24, 2015, the Company's ultimate parent company, Anthem, Inc. ("Anthem"), and Cigna Corporation ("Cigna") entered into an Agreement and Plan of Merger dated as of July 23, 2015, by and among Anthem, Cigna and Anthem Merger Sub Corp., a Delaware corporation and a direct wholly-owned subsidiary of Anthem, pursuant to which Anthem will acquire all outstanding shares of Cigna. The acquisition is subject to certain state regulatory approvals, standard closing conditions and customary approvals required under the Hart-Scott-Rodino Antitrust Improvements Act.

Subsequent to June 30, 2016, the U.S. Department of Justice, along with certain state attorneys general, filed a civil antitrust lawsuit in the U.S. District Court for the District of Columbia seeking to block the acquisition. Anthem intends to vigorously defend the acquisition in this litigation and remains committed to completing the acquisition as soon as practicable.

B – C.

No significant change.

D. Amounts Due To or From Related Parties

At June 30, 2016, the Company reported \$225,738 due from affiliates and \$21,361 due to affiliates. The receivable and payable balances represent intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

E. – L.

No significant change.

11. Debt

Not applicable.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

Not applicable.

B. Not applicable.

C. Not applicable.

D. Not applicable.

NOTES TO FINANCIAL STATEMENTS

E. Defined Contribution Plan

Not applicable.

F. Multiemployer Plan

The Company does not participate in a multiemployer plan.

G. Consolidated/Holding Company Plans

No significant change.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

14. Contingencies

In March 2016, Anthem, Inc. ("Anthem") filed a lawsuit against its vendor for pharmacy benefit management services, captioned Anthem, Inc. v. Express Scripts, Inc., in the U.S. District Court for the Southern District of New York. The lawsuit seeks to recover damages for pharmacy pricing that is higher than competitive benchmark pricing, damages related to operational breaches and seeks various declarations under the agreement between the parties. Anthem's suit asserts that Express Scripts, Inc.'s ("Express Scripts") current pricing exceeds the competitive benchmark pricing required by the agreement over the remaining term of the agreement and through the post-termination transition period. Further, Anthem believes that Express Scripts' excessive pricing has caused Anthem to lose existing customers and prevented the Company from gaining new business. In addition to the amounts associated with competitive benchmark pricing, Anthem is seeking damages associated with operational breaches incurred to date, together with a declaratory judgment that Express Scripts: (1) breached its obligation to negotiate in good faith and to agree in writing to new pricing terms; (2) is required to provide competitive benchmark pricing to Anthem through the term of the agreement; (3) has breached the agreement, and that Anthem can terminate the agreement either due to Express Scripts' breaches or because Anthem has determined that Express Scripts' performance with respect to the delegated Medicare Part D functions has been unsatisfactory; and (4) is required under the agreement to provide post-termination services, at competitive benchmark pricing, for one year following any termination. In April 2016, Express Scripts filed an answer to the lawsuit disputing Anthem's contractual claims and alleging various defenses and counterclaims. Express Scripts contends that Anthem breached the agreement by failing to negotiate proposed new pricing terms in good faith and that Anthem breached the implied covenant of good faith and fair dealing by disregarding the terms of the transaction. In addition, Express Scripts is seeking declaratory judgments: (1) regarding the timing of the periodic pricing review under the agreement; (2) that it has no obligation to ensure that Anthem receives any specific level of pricing, that Anthem has no contractual right to any change in pricing under the agreement and that its sole obligation is to negotiate proposed pricing terms in good faith; and (3) that Anthem does not have the right to terminate the agreement. In the alternative, Express Scripts claims that Anthem has been unjustly enriched by its payment of \$4.675 billion at the time of the agreement. Anthem believes that Express Scripts' defenses and counterclaims are without merit. Anthem intends to vigorously pursue these claims and defend against any counterclaims; however, the ultimate outcome cannot be presently determined.

NOTES TO FINANCIAL STATEMENTS

Anthem and Express Scripts were also named as defendants in a purported class action lawsuit filed in June 2016 in the Southern District of New York by three members of ERISA plans alleging ERISA violations. The lawsuit is captioned Karen Burnett, Brendan Farrell, and Robert Shullich, individually and on behalf of all others similarly situated v. Express Scripts, Inc. and Anthem, Inc. The lawsuit is purportedly filed on behalf of participants in, or beneficiaries of, ERISA governed employee welfare benefit plans from December 1, 2009 to the present who received prescription drug benefits under an Anthem plan provided through an agreement with Express Scripts. The complaint alleges that Express Scripts violated ERISA by overcharging Plaintiffs and the proposed class for percentage based copays based on prescription drug charges that are higher than competitive prices. Plaintiffs allege that Anthem is an ERISA fiduciary and breached its fiduciary obligations by (i) entering into an agreement with Express Scripts that was imprudent and not in the best interests of the members of the proposed class but enriched Anthem and granted to Express Scripts excessive discretion to set prescription drug prices, and (ii) failing to properly monitor and prevent Express Scripts from overcharging Plaintiffs and the proposed class for copays. The complaint asserts seven claims for relief under ERISA, four of which are asserted against Anthem. Plaintiffs seek to recover all losses suffered by the proposed class, equitable relief, disgorgement of alleged ill-gotten gains, injunctive relief, joint and several liability, attorney's fees and costs and interest. Anthem intends to vigorously defend this suit; however, its ultimate outcome cannot be presently determined.

15. Leases

Not applicable.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not applicable.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

Not applicable.

C. Wash Sales

1. In the course of the Company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.
2. At June 30, 2016, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only Plans ("ASO")

No significant change.

NOTES TO FINANCIAL STATEMENTS

B. Administrative Services Contract Plans (“ASC”)

No significant change.

C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract

Not applicable.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

20. Fair Value Measurements

A. There are no assets or liabilities measured at fair value as of June 30, 2016.

B. Fair Value Measurements Under Other Accounting Pronouncements

Not applicable.

C. Financial Instruments

	Aggregate	Admitted				Not
	Fair Value	Assets	(Level 1)	(Level 2)	(Level 3)	Practicable
Type of Financial Instrument						(Carrying Value)
Bonds	\$ 15,282,311	\$ 14,946,269	\$ 11,767,720	\$ 3,514,591	\$ -	\$ -
Short-term investments	492,496	492,496	492,496	-	-	-

D. Not Practicable to Estimate Fair Value

There are no financial instruments that were not practicable to estimate fair value.

21. Other Items

No significant change.

22. Events Subsequent

Subsequent events have been considered through August 12, 2016 for the statutory statement issued on August 12, 2016. Other than the acquisition related item discussed in Note 10A, there were no other events occurring subsequent to June 30, 2016 requiring recognition or disclosure.

23. Reinsurance

Not applicable.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. – D.

Not applicable.

NOTES TO FINANCIAL STATEMENTS

E. Risk-Sharing Provision of the Affordable Care Act (“ACA”)

- (1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

No
- (2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

Not applicable.
- (3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Not applicable.

25. Change in Incurred Claims and Claim Adjustment Expenses

Not applicable.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

28. Health Care Receivables

No significant change.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

Not applicable.

31. Anticipated Subrogation and Other Recoveries

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes ☒ No ☐
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes ☐ No ☒ N/A ☐
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2013
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2012
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

12/26/2013
- 6.4

By what department or departments?
Missouri Department Of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☐ No ☐ N/A ☒
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes [X] No []

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes [X] No []

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
The Anthem Standards of Ethical Business Conduct applies to all associates, management, officers and directors of Anthem. In June 2016 the code of conduct was revised for the following: a) updated Gift policy (offering) to address new Finance policy prohibiting offering gift cards, unless an approved wellness program; b) added a new section on Telephone Consumer Protection Act: c) added a new section on Non-discrimination under the Affordable Care Act (ACA) since we had a section on non-discrimination for government business.

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2

If yes, give full and complete information relating thereto:

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$

13.

Amount of real estate and mortgages held in short-term investments:

\$

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$
14.22 Preferred Stock	\$0	\$
14.23 Common Stock	\$0	\$
14.24 Short-Term Investments	\$0	\$
14.25 Mortgage Loans on Real Estate	\$0	\$
14.26 All Other	\$0	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement.

Yes [] No []

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0
- 16.3 Total payable for securities lending reported on the liability page

\$0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook
- Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon Corporation	New York, NY

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
113878	McDonnell Investment Management, LLC	Oak Brook, IL

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?
- Yes [X] No []

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

0.0 %

1.2 A&H cost containment percent

0.0 %

1.3 A&H expense percent excluding cost containment expenses

0.0 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$.
- 2.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 2.4

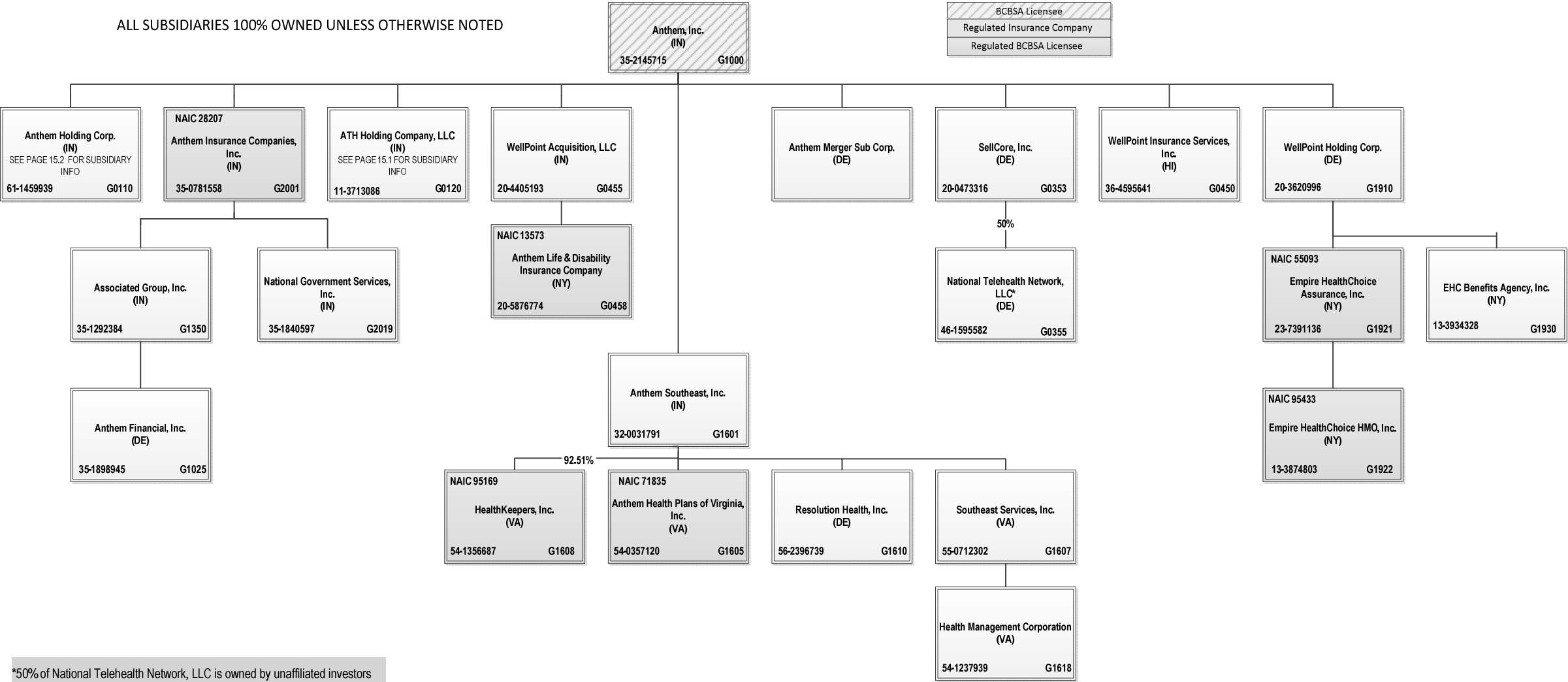
If yes, please provide the balance of the funds administered as of the reporting date

\$.

Schedule S - Ceded Reinsurance
N O N E

Schedule T - Premiums and Other Considerations
N O N E

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



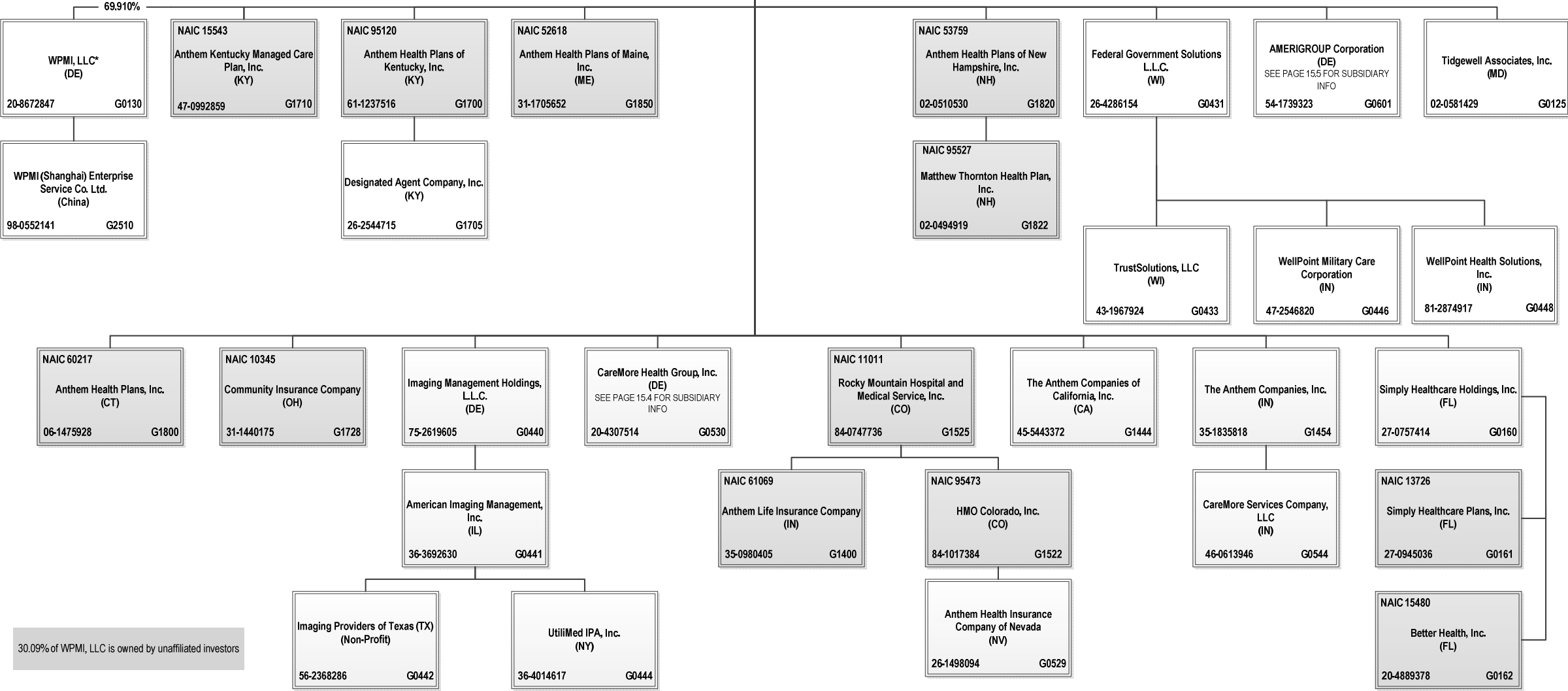
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES OWNED UNLESS OTHERWISE NOTED

ATH Holding Company, LLC
(IN)

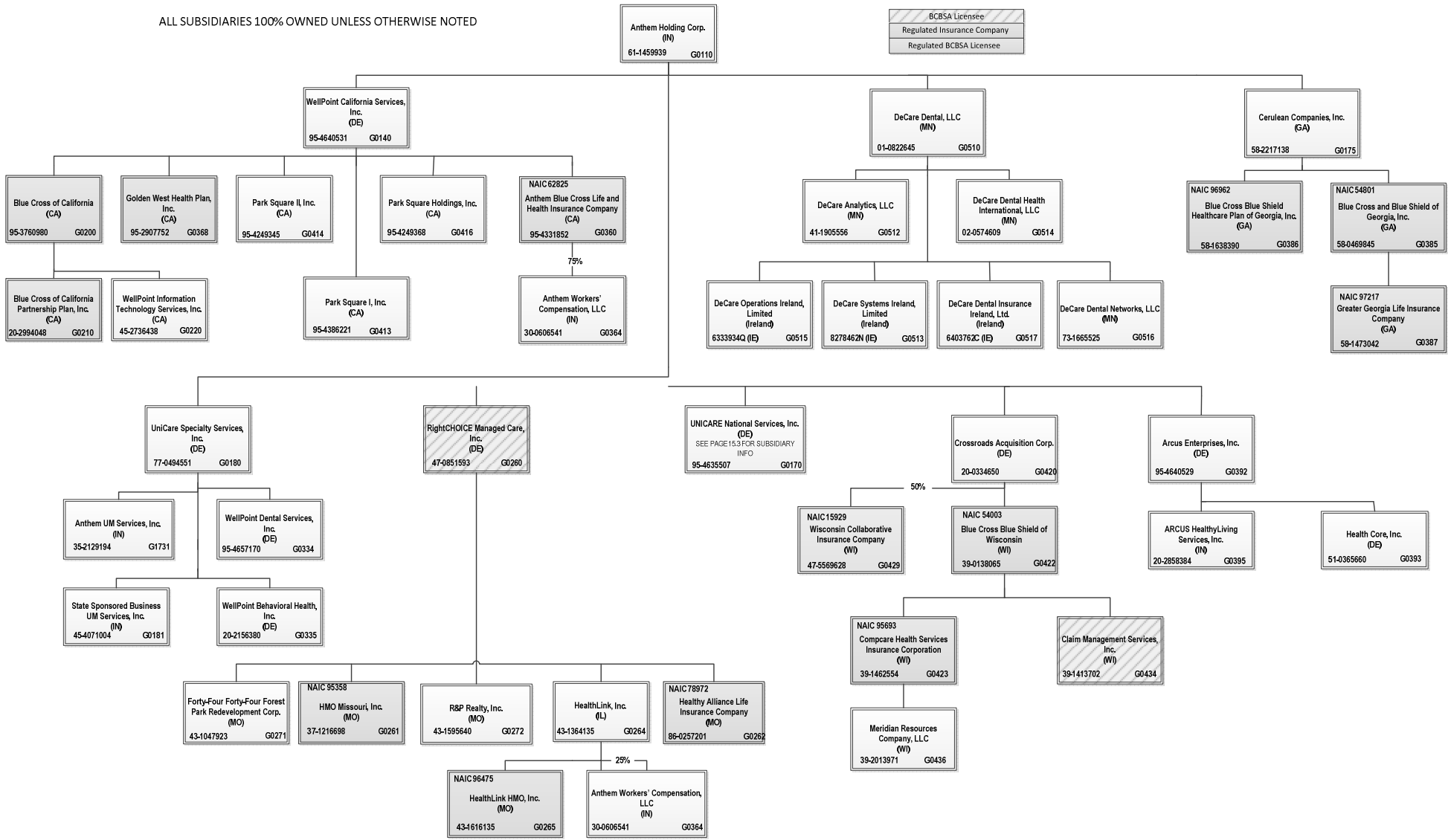
11-3713086 G0120

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee



30.09% of WPMI, LLC is owned by unaffiliated investors

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

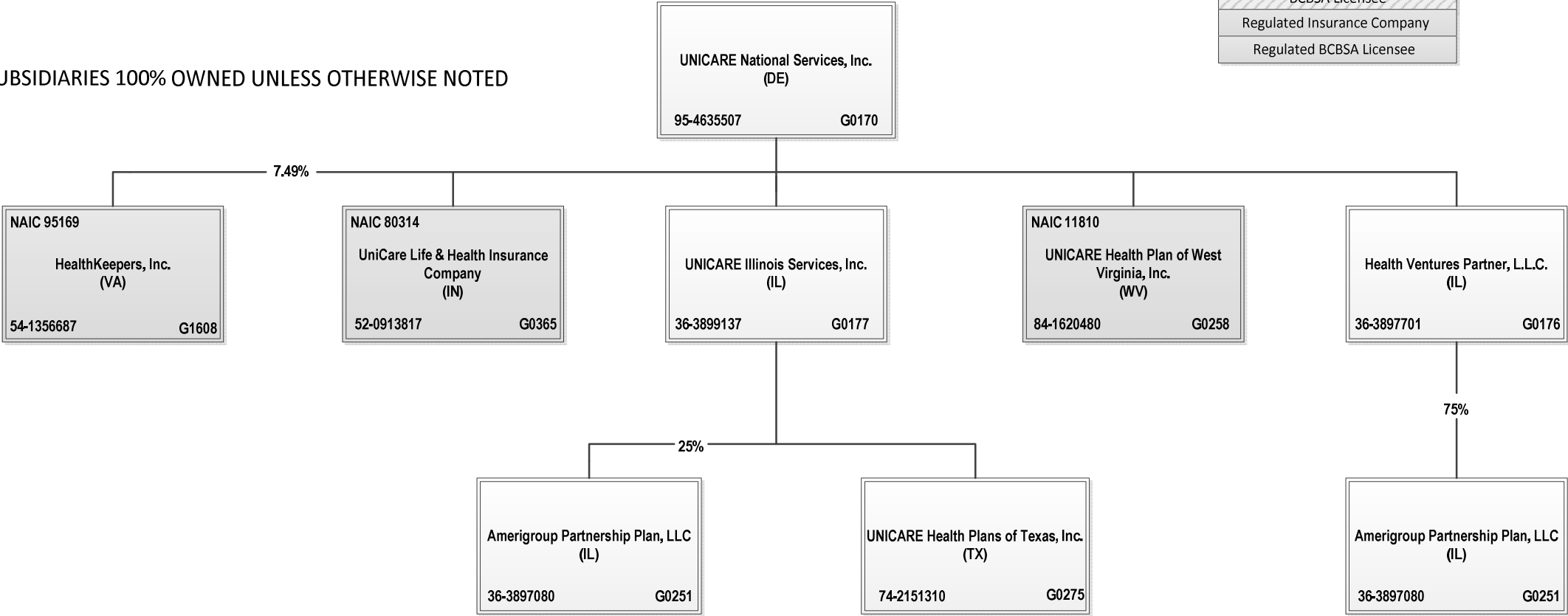


50% of Wisconsin Collaborative Insurance Company is owned by unaffiliated investors

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

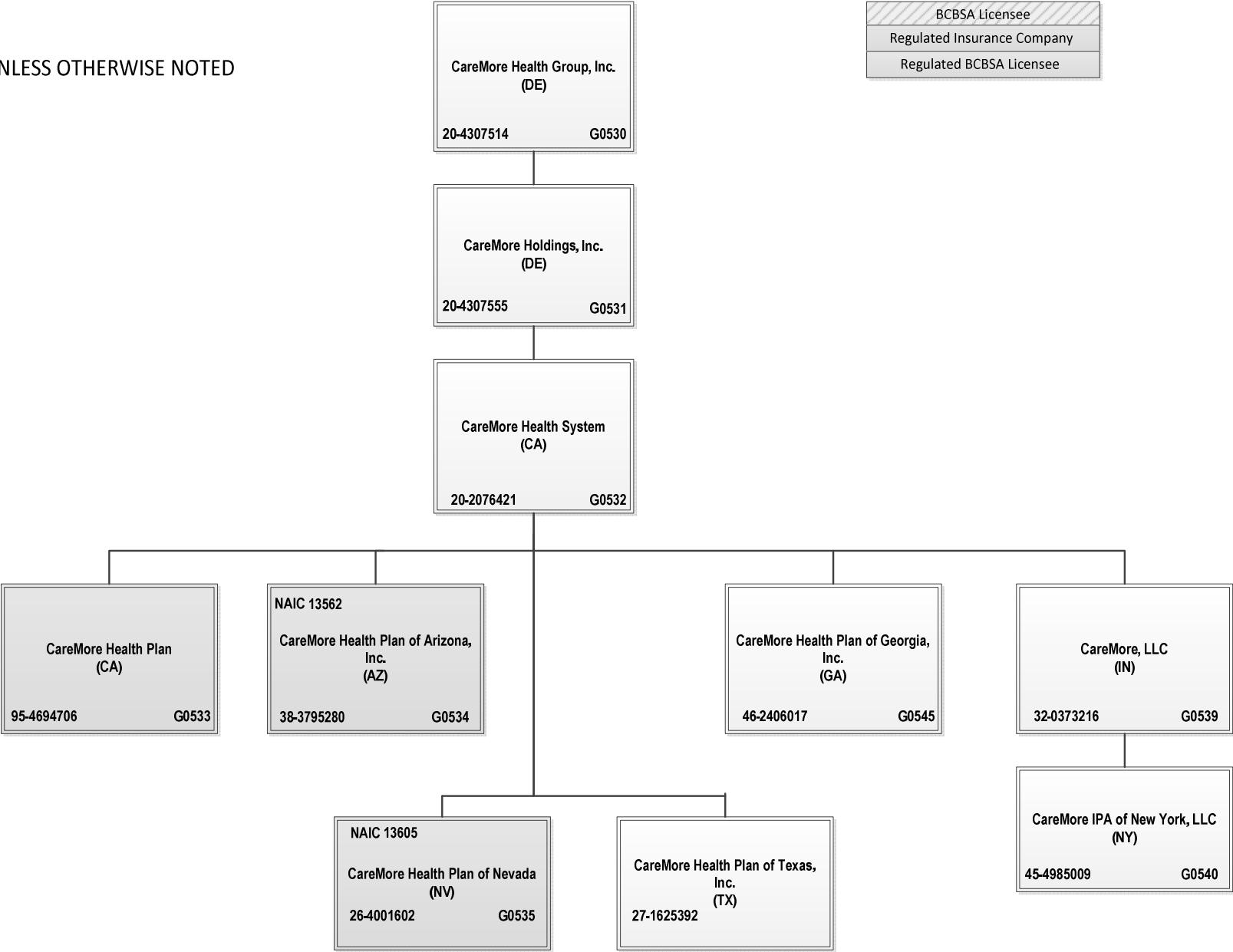
ALL SUBSIDIARIES 100% OWNED UNLESS OTHERWISE NOTED

BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

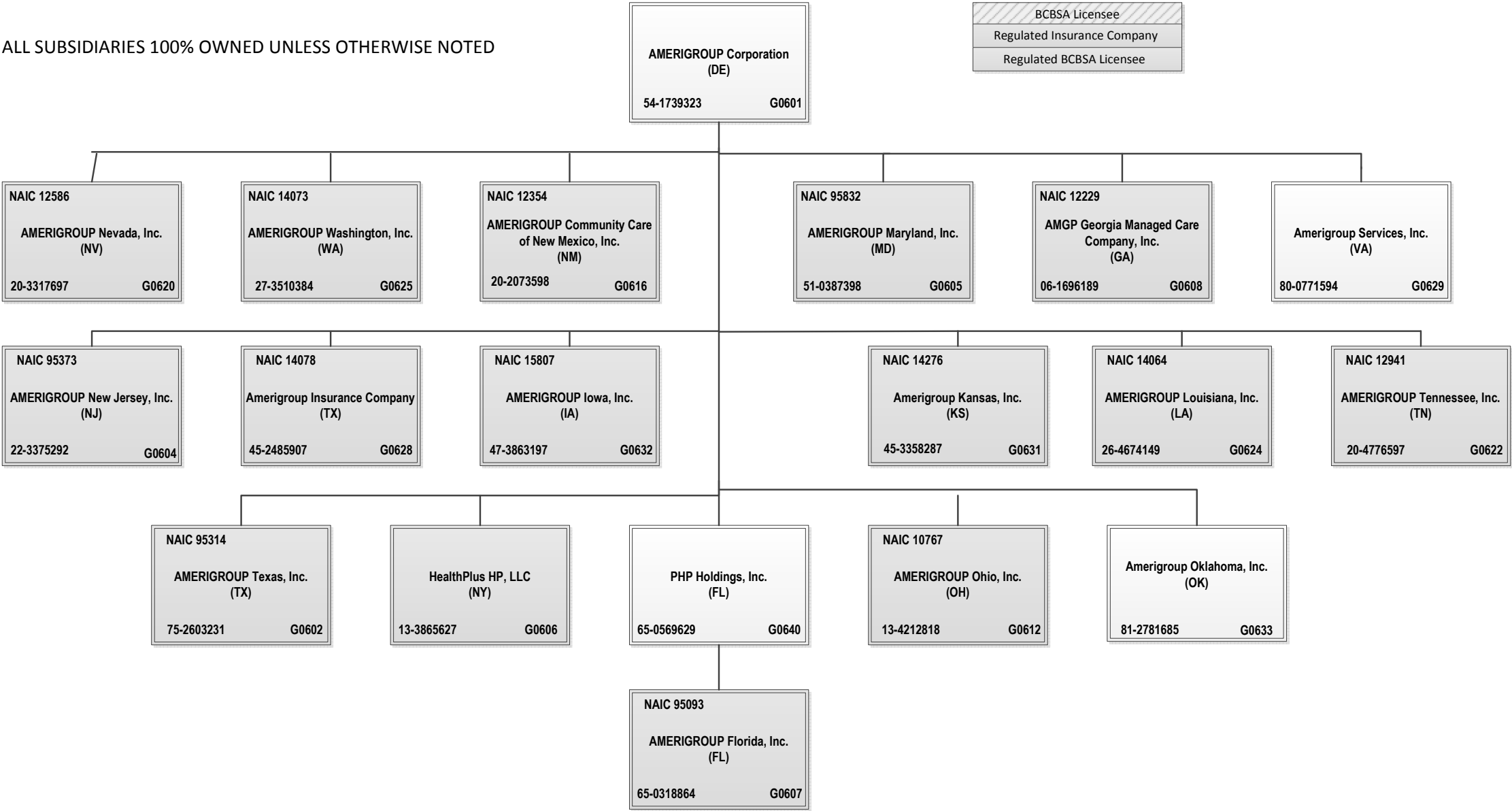
ALL SUBSIDIARIES 100% OWNED UNLESS OTHERWISE NOTED



BCBSA Licensee
Regulated Insurance Company
Regulated BCBSA Licensee

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED UNLESS OTHERWISE NOTED



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0671	Anthem, Inc.		36-3692630				American Imaging Management, Inc.	IL	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	12354	20-2073598				AMERIGROUP Community Care of New Mexico, Inc.	NM	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		54-1739323				AMERIGROUP Corporation	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	95093	65-0318864				AMERIGROUP Florida, Inc.	FL	IA	PHP Holdings, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		45-2485907				Amerigroup Insurance Company	TX	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	15807	47-3863197				AMERIGROUP Iowa, Inc.	IA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	14276	45-3358287				Amerigroup Kansas, Inc.	KS	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		26-4674149				AMERIGROUP Louisiana, Inc.	LA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	95832	51-0387398				AMERIGROUP Maryland, Inc.	MD	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	12586	20-3317697				AMERIGROUP Nevada, Inc.	NV	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	95373	22-3375292				AMERIGROUP New Jersey, Inc.	NJ	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	10767	13-4212818				AMERIGROUP Ohio, Inc.	OH	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		81-2781685				AMERIGROUP Oklahoma, Inc.	OK	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		36-3897080				Amerigroup Partnership Plan, LLC	IL	NIA	Health Ventures Partner, L.L.C.	Ownership	75.000	Anthem, Inc.	
0671	Anthem, Inc.		36-3897080				Amerigroup Partnership Plan, LLC	IL	NIA	UNICARE Illinois Services, Inc.	Ownership	25.000	Anthem, Inc.	
0671	Anthem, Inc.		80-0771594				Amerigroup Services, Inc.	VA	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	12941	20-4776597				AMERIGROUP Tennessee, Inc.	TN	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	95314	75-2603231				AMERIGROUP Texas, Inc.	TX	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	14073	27-3510384				AMERIGROUP Washington, Inc.	WA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	12229	06-1696189				AMGP Georgia Managed Care Company, Inc.	GA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	62825	95-4331852				Anthem Blue Cross Life and Health Insurance Company	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		35-1898945				Anthem Financial, Inc.	DE	NIA	Associated Group, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		26-1498094				Anthem Health Insurance Company of Nevada	NV	NIA	HMO Colorado, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	95120	61-1237516				Anthem Health Plans of Kentucky, Inc.	KY	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	52618	31-1705652				Anthem Health Plans of Maine, Inc.	ME	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	53759	02-0510530				Anthem Health Plans of New Hampshire, Inc.	NH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	71835	54-0357120	40003317			Anthem Health Plans of Virginia, Inc.	VA	IA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	60217	06-1475928				Anthem Health Plans, Inc.	CT	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		61-1459939				Anthem Holding Corp.	IN	UIP	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		35-2145715		6324	New York Stock Exchange (NYSE)	Anthem, Inc.	IN	UIP				Anthem, Inc.	
0671	Anthem, Inc.	28207	35-0781558				Anthem Insurance Companies, Inc.	IN	IA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	15543	47-0992859				Anthem Kentucky Managed Care Plan, Inc.	KY	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	13573	20-5876774				Anthem Life & Disability Insurance Company	NY	IA	WellPoint Acquisition, LLC	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	61069	35-0980405				Anthem Life Insurance Company	IN	IA	Rocky Mountain Hospital and Medical Service, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.						Anthem Merger Sub Corp.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		32-0031791				Anthem Southeast, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		35-2129194				Anthem UM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		30-0606541				Anthem Workers' Compensation, LLC	IN	NIA	Anthem Blue Cross Life and Health Insurance Company	Ownership	75.000	Anthem, Inc.	
0671	Anthem, Inc.		30-0606541				Anthem Workers' Compensation, LLC	IN	NIA	HealthLink, Inc.	Ownership	25.000	Anthem, Inc.	
0671	Anthem, Inc.		95-4640529				Arcus Enterprises, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		20-2858384				ARCUS HealthLiving Services, Inc.	IN	NIA	Arcus Enterprises, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		35-1292384				Associated Group, Inc.	IN	NIA	Anthem Insurance Companies, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.		11-3713086				ATH Holding Company, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	
0671	Anthem, Inc.	15480	20-4889378				Better Health, Inc.	FL	IA	Simply Healthcare Holdings, Inc.	Ownership	100.000	Anthem, Inc.	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
...0671...	Anthem, Inc.54801...	58-0469845	Blue Cross and Blue Shield of Georgia, Inc.GA.....	...IA.....	Cerulean Companies, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.96962...	58-1638390	Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.GA.....	...IA.....	Cerulean Companies, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.54003...	39-0138065	Blue Cross Blue Shield of WisconsinWI.....	...IA.....	Crossroads Acquisition Corp.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	95-3760980	Blue Cross of CaliforniaCA.....	...IA.....	WellPoint California Services, Inc.	Ownership.....	...100.000...	Anthem, Inc.0101...
...0671...	Anthem, Inc.	20-2994048	Blue Cross of California Partnership Plan, Inc.CA.....	...IA.....	Blue Cross of California	Ownership.....	...100.000...	Anthem, Inc.0102...
...0671...	Anthem, Inc.	20-4307514	CareMore Health Group, Inc.DE.....	...NIA.....	ATH Holding Company, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	95-4694706	CareMore Health PlanCA.....	...IA.....	CareMore Health System	Ownership.....	...100.000...	Anthem, Inc.0103...
...0671...	Anthem, Inc.13562...	38-3795280	CareMore Health Plan of Arizona, Inc.AZ.....	...IA.....	CareMore Health System	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	46-2406017	CareMore Health Plan of Georgia, Inc.GA.....	...NIA.....	CareMore Health System	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.13605...	26-4001602	CareMore Health Plan of NevadaNV.....	...IA.....	CareMore Health System	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	27-1625392	CareMore Health Plan of Texas, Inc.TX.....	...NIA.....	CareMore Health System	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	20-4307555	CareMore Holdings, Inc.DE.....	...NIA.....	CareMore Health Group, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	45-4985009	CareMore IPA of New York, LLCNY.....	...NIA.....	CareMore, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	32-0373216	CareMore, LLCIN.....	...NIA.....	CareMore Health System	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	20-2076421	CareMore Health SystemCA.....	...NIA.....	CareMore Holdings, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	46-0613946	CareMore Services Company, LLCIN.....	...NIA.....	The Anthem Companies, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	58-2217138	Cerulean Companies, Inc.GA.....	...NIA.....	Anthem Holding Corp.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	39-1413702	Claim Management Services, Inc.WI.....	...NIA.....	Blue Cross Blue Shield of Wisconsin	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.10345...	31-1440175	Community Insurance CompanyOH.....	...IA.....	ATH Holding Company, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.95693...	39-1462554	Compcare Health Services Insurance CorporationWI.....	...IA.....	Blue Cross Blue Shield of Wisconsin	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	20-0334650	Crossroads Acquisition Corp.DE.....	...NIA.....	Anthem Holding Corp.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	41-1905556	DeCare Analytics, LLCMN.....	...NIA.....	DeCare Dental, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	02-0574609	DeCare Dental Health International, LLCMN.....	...NIA.....	DeCare Dental, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	73-1665525	DeCare Dental Insurance Ireland, Ltd.JRL.....	...NIA.....	DeCare Dental, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	01-0822645	DeCare Dental Networks, LLCMN.....	...NIA.....	DeCare Dental, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	01-0822645	DeCare Dental, LLCMN.....	...NIA.....	Anthem Holding Corp.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	26-2544715	DeCare Operations Ireland, LimitedJRL.....	...NIA.....	DeCare Dental, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	26-2544715	DeCare Systems Ireland, LimitedJRL.....	...NIA.....	DeCare Dental, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	13-3934328	Designated Agent Company, Inc.KY.....	...NIA.....	Anthem Health Plans of Kentucky, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.55093...	23-7391136	EHC Benefits Agency, Inc.NY.....	...NIA.....	WellPoint Holding Corp	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.95433...	13-3874803	Empire HealthChoice Assurance, Inc.NY.....	...IA.....	WellPoint Holding Corp	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	26-4286154	Empire HealthChoice HMO, Inc.NY.....	...IA.....	Empire HealthChoice Assurance, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	43-1047923	Federal Government Solutions, LLCWI.....	...NIA.....	ATH Holding Company, LLC	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	95-2907752	Forty-Four Forty-Four Forest Park Redevelopment Corp.MO.....	...NIA.....	RightCHOICE Managed Care, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	58-1473042	Golden West Health Plan, Inc.CA.....	...IA.....	WellPoint California Services, Inc.	Ownership.....	...100.000...	Anthem, Inc.0104...
...0671...	Anthem, Inc.97217...	51-0365660	Greater Georgia Life Insurance CompanyGA.....	...IA.....	Blue Cross and Blue Shield of Georgia, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	54-1237939	Health Core, Inc.DE.....	...NIA.....	Arcus Enterprises, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	36-3897701	Health Management CorporationVA.....	...NIA.....	Southeast Services, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.95169...	54-1356687	Health Ventures Partner, L.L.C.IL.....	...NIA.....	UNICARE National Services, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.95169...	54-1356687	HealthKeepers, Inc.VA.....	...IA.....	Anthem Southeast, Inc.	Ownership.....	...92.510...	Anthem, Inc.
...0671...	Anthem, Inc.96475...	43-1364135	HealthKeepers, Inc.VA.....	...IA.....	UNICARE National Services, Inc.	Ownership.....	...7.490...	Anthem, Inc.
...0671...	Anthem, Inc.	13-3865627	HealthLink HMO, Inc.MO.....	...RE.....	HealthLink, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.	86-0257201	HealthLink, Inc.IL.....	...UDP.....	RightCHOICE Managed Care, Inc.	Ownership.....	...100.000...	Anthem, Inc.
...0671...	Anthem, Inc.78972...	13-3865627	HealthPlus HP, LLCNY.....	...IA.....	AMERIGROUP Corporation	Ownership.....	...100.000...	Anthem, Inc.0100...
...0671...	Anthem, Inc.	86-0257201	Healthy Alliance Life Insurance CompanyMO.....	...IA.....	RightCHOICE Managed Care, Inc.	Ownership.....	...100.000...	Anthem, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Perce-ntage	Ultimate Controlling Entity(ies)/Person(s)	*
...0671 ...	Anthem, Inc.95473 ..	84-1017384	HMO Colorado, Inc.CO....	...IA....	Rocky Mountain Hospital and Medical Service, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.95358 ..	37-1216698	HMO Missouri, Inc.MO....	...IA....	RightCHOICE Managed Care, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	75-2619605	Imaging Management Holdings, L.L.C.DE....	...NIA....	ATH Holding Company, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	56-2368286	Imaging Providers of Texas (non-profit)TX....	...NIA....	American Imaging Management, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.95527 ..	02-0494919	Matthew Thornton Health Plan, Inc.NH....	...IA....	Anthem Health Plans of New Hampshire, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	39-2013971	Meridian Resource Company, LLCWI....	...NIA....	Compcare Health Services Insurance Corporation	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	35-1840597	National Government Services, Inc.IN....	...NIA....	Anthem Insurance Companies, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	46-1595582	National Telehealth Network, LLCDE....	...NIA....	Sellcore, Inc.	Ownership.....	..50.000 ...	Anthem, Inc.0105 ...
...0671 ...	Anthem, Inc.	95-4249368	Park Square Holdings, Inc.CA....	...NIA....	WellPoint California Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	95-4386221	Park Square I, Inc.CA....	...NIA....	WellPoint California Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	95-4249345	Park Square II, Inc.CA....	...NIA....	WellPoint California Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	65-0569629	PHP Holdings, Inc.FL....	...NIA....	AMERIGROUP Corporation	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	43-1595640	R & P Realty, Inc.MO....	...NIA....	RightCHOICE Managed Care, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	56-2396739	Resolution Health, Inc.DE....	...NIA....	Anthem Southeast, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	47-0851593	RightCHOICE Managed Care, Inc.DE....	...UIP....	Anthem Holding Corp.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.11011 ..	84-0747736	Rocky Mountain Hospital and Medical Service, Inc.CO....	...IA....	ATH Holding Company, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	20-0473316	SellCore, Inc.DE....	...NIA....	Anthem, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	27-0757414	Simply Healthcare Holdings, Inc.FL....	...NIA....	ATH Holding Company, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.13726 ..	27-0945036	Simply Healthcare Plans, Inc.FL....	...IA....	Simply Healthcare Holdings, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	55-0712302	Southeast Services, Inc.VA....	...NIA....	Anthem Southeast, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	45-4071004	State Sponsored Business UM Services, Inc.IN....	...NIA....	UNICARE Specialty Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	35-1835818	The Anthem Companies, Inc.IN....	...NIA....	ATH Holding Company, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	45-5443372	The Anthem Companies of California, Inc.CA....	...NIA....	ATH Holding Company, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	02-0581429	Tidgewell Associates, Inc.MD....	...NIA....	ATH Holding Company, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	43-1967924	TrustSolutions, LLCWI....	...NIA....	Government Health Services, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.11810 ..	84-1620480	UNICARE Health Plan of West Virginia, Inc.WV....	...IA....	UNICARE National Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	74-2151310	UNICARE Health Plans of Texas, Inc.TX....	...NIA....	UNICARE Illinois Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	36-3899137	UNICARE Illinois Services, Inc.IL....	...NIA....	UNICARE National Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.80314 ..	52-0913817	UNICARE Life & Health Insurance CompanyIN....	...IA....	UNICARE National Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	95-4635507	UNICARE National Services, Inc.DE....	...NIA....	Anthem Holding Corp.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	77-0494551	UNICARE Specialty Services, Inc.DE....	...NIA....	Anthem Holding Corp.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	36-4014617	UtiliMED IPA, Inc.NY....	...NIA....	American Imaging Management, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	20-4405193	WellPoint Acquisition, LLCIN....	...NIA....	Anthem, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	20-2156380	WellPoint Behavioral Health, Inc.DE....	...NIA....	UNICARE Specialty Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	95-4640531	WellPoint California Services, Inc.DE....	...NIA....	Anthem Holding Corp.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	95-4657170	WellPoint Dental Services, Inc.DE....	...NIA....	UNICARE Specialty Services, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	81-2874917	WellPoint Health Solutions, Inc.DE....	...NIA....	Federal Government Solutions, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	20-3620996	WellPoint Holding CorpDE....	...NIA....	Anthem, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	45-2736438	WellPoint Information Technology Services, Inc.CA....	...NIA....	Blue Cross of California	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	36-4595641	WellPoint Insurance Services, Inc.HI....	...NIA....	Anthem, Inc.	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.	47-2546820	WellPoint Military Care CorporationIN....	...NIA....	Government Health Services, LLC	Ownership.....	..100.000 ...	Anthem, Inc.
...0671 ...	Anthem, Inc.15929 ..	47-5569628	Wisconsin Collaborative Insurance CompanyWI....	...IA....	Crossroads Acquisition Corp.	Ownership.....	..50.000 ...	Anthem, Inc.0107 ...
...0671 ...	Anthem, Inc.	98-0552141	WPMI (Shanghai) Enterprise Service Co. Ltd.CHN....	...NIA....	WPMI, LLC	Ownership.....	..100.000 ...	Anthem, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
...0671	Anthem, Inc.	20-8672847	WPMI, LLCDE.....	...NIA.....	ATH Holding Company, LLC	Ownership.....	..69.910	Anthem, Inc.0106

Asterisk	Explanation
0100	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the New York State Department of Health.
0101	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0102	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0103	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0104	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0105	50% owned by unaffiliated investors
0106	30.09% owned by unaffiliated investors
0107	50% owned by unaffiliated investors

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	14,950,215	14,957,848
2. Cost of bonds and stocks acquired		
3. Accrual of discount	2,847	5,661
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration for bonds and stocks disposed of		
7. Deduct amortization of premium	6,793	13,294
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	14,946,269	14,950,215
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	14,946,269	14,950,215

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	14,205,911	111,457	167	(1,985)	14,205,911	14,315,216	0	14,198,427
2. NAIC 2 (a)	1,123,550				1,123,550	1,123,550		1,123,550
3. NAIC 3 (a)	0				0	0		
4. NAIC 4 (a)	0				0	0		
5. NAIC 5 (a)	0				0	0		
6. NAIC 6 (a)	0				0	0		
7. Total Bonds	15,329,461	111,457	167	(1,985)	15,329,461	15,438,766	0	15,321,977
PREFERRED STOCK								
8. NAIC 1	0				0	0		0
9. NAIC 2	0				0	0		0
10. NAIC 3	0				0	0		0
11. NAIC 4	0				0	0		0
12. NAIC 5	0				0	0		0
13. NAIC 6	0				0	0		0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	15,329,461	111,457	167	(1,985)	15,329,461	15,438,766	0	15,321,977

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$; NAIC 3 \$;
NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	492,496	xxx	492,496	0	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	371,762	120,856
2. Cost of short-term investments acquired	120,986	251,408
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	252	502
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	492,496	371,762
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	492,496	371,762

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

Schedule E - Verification - Cash Equivalents
N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

Schedule D - Part 3 - Long-Term Bonds and Stocks Acquired
N O N E

Schedule D - Part 4 - Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed Of
N O N E

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

[illegible]

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter
N O N E